

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Lin He, Adrienne M. Powell-Johnson, and Youchun Shi  
Serial No. : Not Yet Assigned  
Filed : Simultaneously Herewith  
For : WATER-REMOVABLE COATINGS FOR LCD GLASS

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

SUBMISSION OF CERTIFICATE UNDER 37 CFR 3.73(b)

Attached hereto is a Certificate Under Rule 3.73(b) for this application and attached thereto is a copy of the Assignment for this application.

**The attached Assignment is not being submitted for the purpose of recordation.** Once a serial number has been assigned to this application, the Assignment will be submitted for recordation under separate cover.

Respectfully submitted,

Date: 8/27/01



Maurice M. Klee, Ph.D.  
Reg. No. 30,399  
Attorney for Applicant  
1951 Burr Street  
Fairfield, CT 06430  
(203) 255-1400

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**DECLARATION**

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**WATER-REMOVABLE COATINGS FOR LCD GLASS**

the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 356(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed:

**PRIOR FOREIGN APPLICATION(S)**

Number	Country	Date Filed (mo/day/yr)	Priority Claimed (yes or no)

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number	Filing Date

I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

**U.S. APPLICATIONS**

U.S. Application Serial No.	U.S. Filing Date	Status

**PCT APPLICATIONS DESIGNATING THE U.S.**

PCT Application Serial No.	PCT Filing Date	U.S. Serial Nos. Assigned (if any)	Status

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of  
first joint inventor: Lin He

First Inventor's  
signature: 

Date: 8/20/01  
Residence: Horseheads, New York 14845  
United States of America  
Citizenship: United States of America  
Post Office Address: 26 Barrington Road,  
Horseheads, New York 14845  
United States of America

Full name of second  
joint inventor: Adrienne M. Powell-Johnson

Second inventor's  
signature: Adrienne M. Powell-Johnson

Date: 8/20/01  
Residence: Horseheads, New York 14845  
United States of America  
Citizenship: United States of America  
Post Office Address: 145 Daffodil Drive  
Horseheads, New York 14845  
United States of America

Full name of third  
joint inventor: Youchun Shi

Third inventor's  
signature: Youchun Shi  
Date: August 20, 2001  
Residence: Horseheads, New York 14845  
United States of America  
Citizenship: United States of America  
Post Office Address: 26 Barrington Road  
Horseheads, New York 14845  
United States of America

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Lin He, Adrienne M. Powell-Johnson, and Youchun Shi  
Serial No. : Not Yet Assigned  
Filed : Simultaneously Herewith  
For : WATER-REMOVABLE COATINGS FOR LCD GLASS

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

COMBINED CERTIFICATE UNDER 37 CFR 3.73(b) AND  
POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST

I.     Certificate Under 37 CFR 3.73(b)  
         Establishing Right of Assignee to Take Action

Corning Incorporated, a corporation of New York, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventors of that patent application. A true copy of the assignment is attached hereto.

The undersigned is empowered to sign this certificate on behalf of the assignee.

II.    Power Of Attorney By Assignee

Corning Incorporated, the assignee of record of the entire right, title and interest in the patent application identified above appoints the following attorneys to prosecute and transact all business in the Patent and Trademark Office connected therewith:

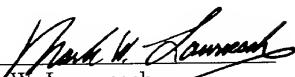
Maurice M. Klee	Reg. No. 30,399
Mark W. Lauroesch	Reg. No. 35,583
Angela N. Nwaneri	Reg. No. 34,229

Send Correspondence and Direct Telephone Calls to:

Maurice M. Klee, Ph.D.  
Attorney at Law  
1951 Burr Street  
Fairfield, Connecticut 06430  
(203) 255-1400

CORNING INCORPORATED

8/20/01  
(Date)

  
Mark W. Lauroesch  
Division Vice-President and  
Director Intellectual Property Law

ASSIGNMENT

FOR VALUE RECEIVED, We, **Lin He**, residing at 26 Barrington Road, Horseheads, New York 14845, **Adrienne M. Powell-Johnson**, residing at 145 Daffodil Drive, Horseheads, New York 14845, and **Youchun Shi**, residing at 26 Barrington Road, Horseheads, New York 14845 hereby sell, assign and transfer unto **Corning Incorporated**, a corporation of New York, having a place of business at Corning, New York 14831, as assignee, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries in and to certain inventions relating to

**WATER-REMOVABLE COATINGS FOR LCD GLASS**

described in an application for Letters Patent of the United States identified by attorney docket number ADP-178 and having the following serial number and filing date:

Serial Number: \_\_\_\_\_

Filing Date: \_\_\_\_\_

and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patent that may be granted therefor.

We request that any and all patents for said inventions be issued to said assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.

We agree that, when requested, we will, without charge to said assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in said assignee, its successors, assigns and legal representatives or nominees.

We authorize and empower the said assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from me.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document

which may be required in any country for any purpose and more particularly in proof of the right of the said assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

We covenant with said assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

We hereby authorize and request our attorney, Maurice M. Klee, of 1951 Burr Street, Fairfield, Connecticut 06430, to insert in the blanks set forth above, the serial number and filing date of our United States patent application on the inventions which are the subject of this assignment when said serial number and filing date are known.

Signed at CORNING, NEW YORK

this 20th day of AUGUST, 2001.

  
Lin He

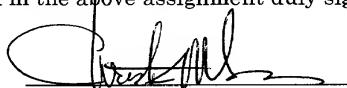
#### DECLARATION

I, CHRISTINE M SAMSON whose full post office address is:

199 W 3RD ST, CORNING NY 14830  
declare as follows:

THAT I was personally present and did see Lin He who is personally known to me to be the person named in the above assignment duly sign and execute the same.

8/20/01  
Date

  
Signature of Witness

CHRISTINE M SAMSON  
Witness' Name Printed

Signed at CORNING, NEW YORK  
this 20th day of AUGUST, 2001.

Adrienne M. Powell-Johnson  
Adrienne M. Powell-Johnson

DECLARATION

I, CHRISTINE M SAMSON whose full post office address is:

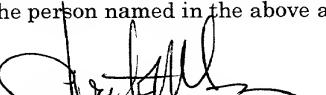
199 W 3RD ST CORNING NY 14830

declare as follows:

THAT I was personally present and did see Adrienne M. Powell-Johnson who is personally known to me to be the person named in the above assignment duly sign and execute the same.

8/20/01

Date



Signature of Witness

CHRISTINE M SAMSON  
Witness' Name Printed

Signed at CORNING NY  
this 20th day of AUGUST, 2001.

  
Youchun Shi

DECLARATION

I, CHRISTINE M SAMSON whose full post office address is:

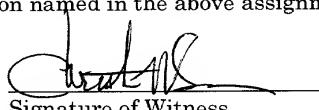
199 W 3RD ST CORNING NY 14830

declare as follows:

THAT I was personally present and did see Youchun Shi who is personally known to me to be the person named in the above assignment duly sign and execute the same.

8/20/01

Date

  
Christine M. Samson

Signature of Witness

CHRISTINE M SAMSON  
Witness' Name Printed